

The Isgur-Wise function in a relativistic model for $q\bar{Q}$ system

Mohammad R. Ahmady, Roberto R. Mendel and James D. Talman

Department of Applied Mathematics

The University of Western Ontario

London, Ontario, Canada

ABSTRACT

We use the Dirac equation with a “(asymptotically free) Coulomb + (Lorentz scalar) linear” potential to estimate the light quark wavefunction for $q\bar{Q}$ mesons in the limit $m_Q \rightarrow \infty$. We use these wavefunctions to calculate the Isgur-Wise function $\xi(v.v')$ for orbital and radial ground states in the phenomenologically interesting range $1 \leq v.v' \leq 4$. We find a simple expression for the zero-recoil slope, $\xi'(1) = -1/2 - \epsilon^2 / \langle r_q^2 \rangle / 3$, where ϵ is the energy eigenvalue of the light quark, which can be identified with the $\bar{\Lambda}$ parameter of the Heavy Quark Effective Theory. This result implies an upper bound of $-1/2$ for the slope $\xi'(1)$. Also, because for a very light quark $q(q = u, d)$ the size $\sqrt{\langle r_q^2 \rangle}$ of the meson is determined mainly by the “confining” term in the potential $(\gamma_0 \sigma r)$, the shape of $\xi_{u,d}(v.v')$ is seen to be mostly sensitive to the dimensionless ratio $\bar{\Lambda}_{u,d}^2 / \sigma$. We present results for the ranges of parameters $150 MeV < \bar{\Lambda}_{u,d} < 600 MeV$ ($\bar{\Lambda}_s \approx \bar{\Lambda}_{u,d} + 100 MeV$), $0.14 GeV^2 \leq \sigma \leq 0.25 GeV^2$ and light quark masses $m_u, m_d \approx 0, m_s = 175 MeV$ and compare to existing experimental data and other theoretical estimates. Fits to the data give: $\bar{\Lambda}_{u,d}^2 / \sigma = 4.8 \pm 1.7$, $-\xi'_{u,d}(1) = 2.4 \pm 0.7$ and $|V_{cb}| \sqrt{\frac{\tau_B}{1.48 ps}} = 0.050 \pm 0.008$ [ARGUS '93]; $\bar{\Lambda}_{u,d}^2 / \sigma = 3.4 \pm 1.8$, $-\xi'_{u,d}(1) = 1.8 \pm 0.7$ and $|V_{cb}| \sqrt{\frac{\tau_B}{1.48 ps}} = 0.043 \pm 0.008$ [CLEO '93]; $\bar{\Lambda}_{u,d}^2 / \sigma = 1.9 \pm 0.7$, $-\xi'_{u,d}(1) = 1.3 \pm 0.3$ and $|V_{cb}| F(1) = 0.037 \pm 0.003$ [CLEO '94] (Existing theoretical estimates for $F(1)$ fall in the range $0.86 < F(1) < 1.01$). Our model seems to favour

the CLEO '94 data set in two respects: the fits are better and the resulting ranges for the model parameters $(\bar{\Lambda}_{u,d}, \sigma)$ are more in line with independent theoretical estimates.

1 Introduction and Summary

During recent years, a lot of effort [1] has gone into the description of systems and processes involving at least one heavy quark ($m_Q \gg \Lambda_{QCD}$) via a systematic expansion in the small parameters (Λ_{QCD}/m_Q) and $\alpha_s(m_Q^2)$, taking advantage of “Heavy Quark Symmetries”. The usefulness of this approach is in that in the heavy quark limit $m_Q \rightarrow \infty$, all the physics can be expressed in terms of a small number of form factors, which depend on the light quark and gluon dynamics only. These “universal” functions can be used as a means for comparison among different theoretical models (such as non-relativistic and relativistic potential models, lattice QCD calculations, etc). Comparisons with experiment or with methods such as QCD sum rules require in general introducing model dependent $O(\frac{\Lambda_{QCD}}{m_Q})$ corrections (except at $v.v' = 1$, where corrections start at order (Λ_{QCD}^2/m_Q^2) [2]), as well as calculable perturbative QCD corrections.

In this paper we limit ourselves to the leading order in the heavy quark expansion. We calculate the Isgur-Wise function [3], $\xi(v.v')$, for radial and orbital ground state mesons using a relativistic model for the light quark. This function in our case (radial and orbital ground state), for a given flavour of light quark, fully describes the transition $M_q \rightarrow M'_q$ in which a local operator transforms the heavy quark Q in the initial meson M_q (of 4-velocity v ; J=0 or 1) into the heavy quark Q' in the meson M'_q (of 4 velocity v' ; J=0 or 1).

We assume that the light quark wavefunction obeys a Dirac equation with a spherically symmetric potential in the reference frame in which the heavy quark is stationary at the origin. We also assume that the potential has the form $V(|\vec{x}|) = V_c(|\vec{x}|) + c_o + \gamma^\circ \sigma |\vec{x}|$, where $V_c(|\vec{x}|)$ is an asymptotically free Coulomb term, c_o is a constant and the last term is a Lorentz scalar confining term. Thus, the spatial wavefunction for the light quark q , of mass m_q , is assumed to obey the time-independent Dirac equation

$$\left[\vec{\alpha} \cdot (-i \vec{\nabla}_{\vec{x}}) + V_c(|\vec{x}|) + c_o + \gamma^\circ (\sigma |\vec{x}| + m_q) \right] \Psi(\vec{x}) = \epsilon \Psi(\vec{x}) . \quad (1)$$

Because we are investigating the meson system in the heavy quark limit ($\Lambda_{QCD}/m_Q \rightarrow 0$), the energy eigenvalue ϵ of the Dirac equation can be identified with the “inertia” parameter $\bar{\Lambda}_q$ often introduced in the Heavy Quark Effective Theory [HQET] [1]

$$\epsilon \approx \bar{\Lambda}_q \equiv \lim_{M_Q \rightarrow \infty} (M_{(q\bar{Q})_{meson}} - M_Q) \quad (2)$$

In our investigation, we allow the $\bar{\Lambda}$ parameters to vary over the range $0.15 \leq \bar{\Lambda}_{u,d} \leq 0.6 GeV$ ($\bar{\Lambda}_s \approx \bar{\Lambda}_{u,d} + 100 MeV$), obtained from recent lattice gauge theory studies [4] and other theoretical estimates [5, 6]. As will be seen below, the parameter $\bar{\Lambda}$ plays an important role in the calculation of the Isgur-Wise function. The additive constant c_o in the potential allows us to pick an arbitrary value for $\bar{\Lambda}$. The asymptotically free

Coulomb term $V_c(r)$ is parametrized in terms of the QCD scale $\Lambda_{\overline{MS}}$ and a saturation value for the strong coupling $\alpha_s^\infty \equiv \alpha_s(r = \infty)$ (We use $\Lambda_{\overline{MS}} = 240 \text{ MeV}$ and $\alpha_s^\infty \approx 1$). Phenomenological and theoretical considerations motivate our use of 3 different values for the string tension : $\sigma = 0.25 \text{ GeV}^2, 0.18 \text{ GeV}^2$ and 0.14 GeV^2 . We set $m_u, m_d = 0$ and $m_s = 0.175 \text{ GeV}$. Further details about the parametrization of the potential are given later in the paper.

We find that the shape of the Isgur-Wise function is mostly sensitive to the parameters $\bar{\Lambda}$ and σ . In fact, to a very good approximation $\xi_{u,d}(v.v')$ depends on the dimensionless ratio $\frac{\bar{\Lambda}^2}{\sigma}$ only. Within our approximation, we also find a strict upper bound on the slope at zero recoil: $\xi'(1) < -1/2$. A recent paper [7] that uses the MIT Bag Model formalism obtains the same bound, which is stronger than the well known Bjorken bound $\xi'(1) < -1/4$ [8]. For large values of $v.v'$ ($2 < v.v' < 4$) the shape of $\xi(v.v')$ is relatively insensitive to the input parameters $\bar{\Lambda}$, σ and $\Lambda_{\overline{MS}}$.

We present explicit results for $\xi(v.v')$ in the phenomenologically interesting region $1 \leq v.v' \leq 4$, using the above mentioned range of parameters. We compare our results with recent experimental data [9, 10, 11] of semileptonic B decays as well as with other theoretical estimates. From fits to the ARGUS '93 data [9] we obtain the ranges $\frac{\bar{\Lambda}_{u,d}^2}{\sigma} = 4.8 \pm 1.7$ (corresponding to $-\xi'_{u,d}(1) = 2.4 \pm 0.7$) and $|V_{cb}| \sqrt{\frac{\tau_B}{1.48 \text{ ps}}} = 0.050 \pm 0.008$. Although the fits are of good quality, for reasonable values of the string tension σ they favour values for the inertia parameter $\bar{\Lambda}_{u,d}$ that are significantly above the range $0.15 \text{ GeV} < \bar{\Lambda}_{u,d} < 0.6 \text{ GeV}$ advocated by most theoretical estimates [4, 5, 6]. The corresponding range for the slope $-\xi'_{u,d}(1)$ is also above most independent theoretical estimates (see discussion in section 4). The best fit to the CLEO '93 data [10] was of significantly poorer quality. Here we found the ranges $\frac{\bar{\Lambda}_{u,d}^2}{\sigma} = 3.4 \pm 1.8$ (corresponding to $-\xi'_{u,d}(1) = 1.8 \pm 0.7$) and $|V_{cb}| \sqrt{\frac{\tau_B}{1.48 \text{ ps}}} = 0.043 \pm 0.008$. The ranges for $\frac{\bar{\Lambda}_{u,d}^2}{\sigma}$ has in this case some overlap with previous theoretical estimates, but is still somewhat on the high side of these estimates. We noticed that if the data point from the CLEO '93 set [10] corresponding to the largest value of $v.v'$ is ignored, the quality of the fit greatly improves. The parameter ranges obtained in this case are $\frac{\bar{\Lambda}_{u,d}^2}{\sigma} = 2.1 \pm 1.6$ (corresponding to $-\xi'_{u,d}(1) = 1.3 \pm 0.6$) and $|V_{cb}| \sqrt{\frac{\tau_B}{1.48 \text{ ps}}} = 0.038 \pm 0.006$. These ranges for $\frac{\bar{\Lambda}_{u,d}^2}{\sigma}$ and $-\xi'_{u,d}(1)$ overlap with many previous theoretical estimates, but are somewhat too wide to provide useful new information that could distinguish between these.

The recently released CLEO '94 data analysis [11] has smaller error bars than the data mentioned above [9, 10]. Also, our model produces better quality fits to this data set than to the previous ones. These two factors contribute to help narrow the parameter ranges. The following ranges are favoured: $\frac{\bar{\Lambda}_{u,d}^2}{\sigma} = 1.9 \pm 0.7$ (corresponding to $-\xi'_{u,d}(1) = 1.3 \pm 0.3$) and $|V_{cb}| F(1) = 0.037 \pm 0.003$ (Different theoretical estimates

for the constant $F(1)$ can be found in eq (40), section 4). Note that the central values of these ranges are quite close to the ones found from the fits to the CLEO '93 data set after removing the CLEO '93 data point corresponding to the highest $v.v'$. The uncertainties, however, are here reduced by a factor of about 2, which should help us to narrow down the parameter space of the underlying physics.

2 General Formalism

We assume that the wavefunction Ψ of a $q\bar{Q}$ meson of mass $M_{q\bar{Q}}$ in the limit $m_Q \rightarrow \infty$ (i.e ignoring $O(\frac{\Lambda_{QCD}}{m_Q})$ effects) can be expressed in terms of a direct product of the (free spinor) wavefunction of the heavy anti-quark, χ , and the wavefunction of the light quark, ψ , in the “relative” coordinates. It is therefore convenient to define the 4-vectors

$$X^\mu \equiv (t_Q, \vec{r}_Q) , \quad x^\mu \equiv (t_q - t_Q, \vec{r}_q - \vec{r}_Q) \quad (3)$$

Of course, the kinematics of the two wavefunctions χ and ψ are not completely independent. They are connected by the implicit constraint that in the rest frame of the heavy quark (which is also the rest frame of the $q\bar{Q}$ meson) the spatial part of the light quark wavefunction, $\psi(\vec{x})$, obeys the time-independent Dirac equation (1). In order to be able to give the meson wavefunction Ψ a physical meaning in a given reference frame, we have to set the “relative time” of the two constituents to zero

$$x^0 = 0 , \quad t_q = t_Q \equiv t$$

In the rest frame of the meson ($\vec{P} = 0$), its wavefunction can then be written as:

$$\Psi_{\vec{0}}^{\lambda,\eta}(X, x) = \sqrt{\frac{2M_{q\bar{Q}}}{(2\pi)^3}} \left[\chi_{\vec{0}}^\lambda e^{-im_Q t_Q} \right] \otimes \left[\psi_{\vec{0}}^\eta(\vec{x}) e^{-i\epsilon t_q} \right] , \quad (4)$$

where λ , η are spin indices (λ , $\eta = \uparrow$ or \downarrow with respect to some axis); $\chi_{\vec{0}}^\lambda$ is a free Dirac spinor at rest corresponding to the heavy antiquark and $\psi_{\vec{0}}^\eta(\vec{x})$ obeys equation (1) with energy eigenvalue ϵ . The factor in front of the direct product is for normalization purposes (See eq (10) below). Using our convention $t_Q = t_q = t$ we see that the rest mass $M_{q\bar{Q}}$ is given by

$$M_{q\bar{Q}} = m_Q + \epsilon \quad (5)$$

thus validating our identification of ϵ with the parameter $\bar{\Lambda}_q$ commonly introduced in HQET.

We now turn to the description of the meson $q\bar{Q}$ as seen from a reference frame L , with respect to which the meson (rest frame L') is moving with a 4-velocity $v \equiv (\gamma, \gamma\vec{\beta})$. In the

unprimed frame, the 4-momentum of the meson is given by $P = vM$. We assume that the wavefunctions of the light quark and heavy antiquark transform in the standard way under a Lorentz transformation. Although this would be strictly correct only if the Dirac equation for the light quark (1) were in fact covariant under Lorentz transformations, we assume (and verify in some special cases later) that this procedure will not introduce important errors in our results. We can then express our wavefunctions in the unprimed frame in terms of those in the rest frame of the meson, L'

$$\begin{aligned}\psi_v(t_q, \vec{r}_q) &= S_v \psi_0(t'_q, \vec{r}'_q) \\ \chi_v(t_Q, \vec{r}_Q) &= S_v \chi_0(t'_Q, \vec{r}'_Q)\end{aligned}\quad (6)$$

where

$$\begin{aligned}t'_{q,Q} &= \gamma [t_{q,Q} - \vec{\beta} \cdot \vec{r}_{q,Q}] \\ \vec{r}'_{q,Q} &= \vec{r}_{q,Q} + (\gamma - 1)(\hat{\beta} \cdot \vec{r}_{q,Q})\hat{\beta} - \gamma \vec{\beta} t_{q,Q} \\ t_q &= t_Q \equiv t\end{aligned}\quad (7)$$

and

$$S_v = \exp \left\{ \frac{\tanh^{-1} |\vec{\beta}|}{2} \vec{\alpha} \cdot \hat{\beta} \right\} = \sqrt{\frac{\gamma + 1}{2}} \begin{bmatrix} 1 & 0 & \frac{\gamma \beta_z}{\gamma + 1} & \frac{\gamma(\beta_x - i\beta_y)}{\gamma + 1} \\ 0 & 1 & \frac{\gamma(\beta_x + i\beta_y)}{\gamma + 1} & -\frac{\gamma \beta_z}{\gamma + 1} \\ \frac{\gamma \beta_z}{\gamma + 1} & \frac{\gamma(\beta_x - i\beta_y)}{\gamma + 1} & 1 & 0 \\ \frac{\gamma(\beta_x + i\beta_y)}{\gamma + 1} & -\frac{\gamma \beta_z}{\gamma + 1} & 0 & 1 \end{bmatrix}. \quad (8)$$

Therefore, the wavefunction of a meson moving with velocity $\vec{\beta}$ in our (unprimed) frame will be

$$\begin{aligned}\Psi_v^{\lambda, \eta}(X, x) &= \sqrt{\frac{2M_{q\bar{Q}}}{(2\pi)^3}} [S_v \chi_0^\lambda e^{-im_Q t'_Q}] \otimes [S_v \psi_0^\eta(\vec{x}') e^{-i\epsilon t'_q}] \\ &= \sqrt{\frac{2M_{q\bar{Q}}}{(2\pi)^3}} e^{-iP \cdot X} [S_v \chi_0^\lambda] \otimes [S_v \psi_0^\eta(\vec{x} + (\gamma - 1)(\hat{\beta} \cdot \vec{x})\hat{\beta}) e^{i\epsilon \gamma \vec{\beta} \cdot \vec{x}}],\end{aligned}\quad (9)$$

where we have used eq (7) for the last step and $t_q = t_Q = t$, $\vec{r}_Q = \vec{X}$, $\vec{r}_q - \vec{r}_Q = \vec{x}$, $P = (\gamma M, \gamma \vec{\beta} M)$.

It is straightforward to check that the standard normalization for meson states,

$$\langle \Psi_v^{\lambda, \eta'} | \Psi_v^{\lambda, \eta} \rangle = 2P^0 \delta^3(\vec{P} - \vec{P}') \delta_{\lambda\lambda'} \delta_{\eta\eta'}, \quad (10)$$

is obtained provided that the usual normalization is used for the light and heavy quark wavefunctions:

$$\chi_0^{\lambda\dagger} \chi_0^{\lambda'} = \delta_{\lambda\lambda'} \text{ and } \int d^3\vec{x} \psi_0^{\eta\dagger}(\vec{x}) \psi_0^{\eta'}(\vec{x}) = \delta_{\eta\eta'}. \quad (11)$$

The Isgur-Wise function $\xi(v \cdot v')$ (for orbital and radial ground states) can be extracted in a simple way [1, 3] by calculating the following matrix element between two

pseudoscalar states of mass M and 4-velocities v and v' :

$$\xi(v.v') = \frac{1}{(1+v.v')M} \langle P_{v'} | \bar{h}_{v'}(0) h_v(0) | P_v \rangle, \quad (12)$$

where $|P_v \rangle = \frac{1}{\sqrt{2}} (|\Psi_v^{\uparrow\downarrow} \rangle - |\Psi_v^{\downarrow\uparrow} \rangle)$ can be obtained from eq (9) and $\bar{h}_{v'}(0)(h_v(0))$ is the creation (annihilation) operator for a heavy quark of 4-velocity $v'(v)$ at the origin of space-time and $v^\mu \gamma_\mu h_v = h_v$ has been used. The light quark wavefunctions ψ_0^η (see eq (9)) correspond in this case to the radial and orbital ground state solutions of the Dirac equation (1).

Without loss of generality we can assume that $\vec{\beta}$ and $\vec{\beta}'$ are collinear. We can then use the simple result

$$\chi_0^\dagger S_{v'}^\dagger \gamma^\circ S_v \chi_0 = \sqrt{\frac{1+v.v'}{2}} \quad (13)$$

to obtain the explicit expression

$$\xi(v.v') = \sqrt{\frac{2}{1+v.v'}} \int d^3\vec{x} \frac{1}{2} \sum_{\eta=\uparrow,\downarrow} \psi_0^{\eta\dagger}(\vec{x}') S_{v'}^\dagger S_v \psi_0^\eta(\vec{x}'') e^{i\epsilon(\gamma\vec{\beta}-\gamma'\vec{\beta}')\cdot\vec{x}}, \quad (14)$$

where

$$\begin{aligned} \vec{x}' &= \vec{x} + (\gamma' - 1)(\hat{\beta}' \cdot \vec{x}) \hat{\beta}' \\ \vec{x}'' &= \vec{x} + (\gamma - 1)(\hat{\beta} \cdot \vec{x}) \hat{\beta} \end{aligned} \quad (15)$$

and $v.v' = \gamma\gamma'[1 - \vec{\beta} \cdot \vec{\beta}']$ ($v.v'$ in $[1, \infty]$). \vec{x}' and \vec{x}'' represent the spatial coordinates in the reference frames where the mesons of respective 4-velocities v' and v are at rest.

In principle, the above integral expression (14) should depend on $v.v'$ only, i.e. should be Lorentz invariant so that its value be independent from the (unprimed) frame that is chosen to evaluate the integral in. In practice, because the Dirac eq (1) is not Lorentz covariant (due to the potential), the wavefunctions are not either. Therefore, the function $\xi(v.v')$ does depend on the frame we choose. However, it can be easily checked that the important properties $\xi(1) = 1$ and $Im(\xi(v.v')) = 0$ are satisfied in any Lorentz frame. Moreover, as will be detailed at the end of this section, we found that the value of the slope at zero recoil $\xi'(1)$ is the same in three simple (but quite different) Lorentz frames. Thus, at least in the vicinity of the zero recoil point, the effects of Lorentz non-invariance of our function ξ are expected to be small.

We choose to work in the Breit [7] frame, where it is easiest to perform the calculation. In this frame the incoming and outgoing mesons are moving with equal speeds but in opposite directions ($\vec{\beta} = -\vec{\beta}'$) and therefore $v.v' = 2\gamma^2 - 1$. Also, $S_{v'}^\dagger = S_v^{-1}$ so that after a change in integration variable $\xi(v.v')$ can be written in the simple form [12], with $\vec{\beta}$

and $\vec{\beta}'$ in the z direction,

$$\xi_q(v.v') = \frac{1}{\gamma^2} \int d^3\vec{r}' \frac{1}{2} \sum_{\eta=\uparrow\downarrow} \left| \psi_0^\eta(\vec{r}') \right|^2 e^{2i\epsilon_q \beta z'} , \quad (16)$$

where

$$\gamma = \sqrt{\frac{1+v.v'}{2}} , \quad \beta = \sqrt{\frac{v.v'-1}{v.v'+1}} . \quad (17)$$

Because $\sum_{\eta=\uparrow\downarrow} \left| \psi_0^\eta(\vec{x}') \right|^2$ is spherically symmetric, the angular integration is trivial. Also, it is easy to derive an expression for the slope at zero recoil $\xi'(1)$ in terms of the inertia parameter $\epsilon_q = \bar{\Lambda}_q$ and $\langle r_q^2 \rangle_{\vec{0}}$:

$$\frac{\partial \xi_q}{\partial(v.v')} \Big|_{v.v'=1} = -\frac{1}{2} - \frac{1}{3} \epsilon_q^2 \langle r_q^2 \rangle_{\vec{0}} = -\frac{1}{2} - \frac{1}{3} \bar{\Lambda}_q^2 \langle r_q^2 \rangle_{\vec{0}} . \quad (18)$$

This is a general result within our formalism and not linked to any particular form of the potential used in the Dirac equation for the light quark. It tells us that $\xi'(1)$ depends only on the light quark energy eigenvalue ϵ (or equivalently for our model, the HQET “inertia” parameter $\bar{\Lambda}_q = \lim_{m_Q \rightarrow \infty} (M_{Q\bar{q}} - m_Q)$) and on the rms distance between the light quark and the (stationary) heavy antiquark, $\sqrt{\langle r_q^2 \rangle_{\vec{0}}}$. We should point out that this same result was obtained independently in a recent paper that describes $q\bar{Q}$ mesons and qqQ baryons in the context of the MIT Bag Model [7], also obtained in the Breit frame.

An interesting aspect of this result is that it sets an upper bound on the slope ($\xi'(1) = -\rho^2$)

$$\xi'(1) < -\frac{1}{2} \quad (19)$$

This bound is stronger than the well known Bjorken bound $\xi'(1) < -1/4$ and is a result of the dynamical assumptions inherent in our treatment of the meson system in the heavy quark limit. In particular, we think that it can be traced to the fact that we describe a moving meson by boosting the light and heavy quark independently (by the same amount).

Because our formalism is not fully covariant (spherically symmetric Dirac equation potential is put in by hand) one may suspect that the above result for $\xi'(1)$ (eq 18) is Lorentz frame dependent. We have checked explicitly that the result is unchanged if $\xi'(1)$ is calculated in the frames where either the incoming or the outgoing meson is at rest.

3 Parametrization of the Dirac equation potential

In the $M_Q \rightarrow \infty$ limit, the $q\bar{Q}$ meson system should be well described by the heavy anti-quark stationary at the origin and the light quark (of mass m_q) moving in a spherically symmetric static external potential. We are of course ignoring the self interactions of the light quark in the hope that these can be absorbed to some extent in a renormalization of the parameters of the external potential.

We take the short distance behaviour of the potential from renormalization-group-improved QCD perturbation theory and the long distance behaviour from lattice and other non-perturbative studies that ignore screening by light quark pair creation. Unfortunately, knowledge about the leading behaviour of the potential in these two extreme distance regimes defines the potential only up to an additive constant.

At short distances, the usual asymptotically free Coulomb form (transforming as the zeroth component of a Lorentz 4-vector) is obtained:

$$V_c(r) = -\frac{4}{3} \frac{\alpha_s(r)}{r} \quad (20)$$

where $\alpha_s(r)$ is obtained in the leading log approximation and is parametrized as follows

$$\alpha_s(r) = \frac{2\pi}{(11 - \frac{2N_F}{3}) \ln[A + \frac{B}{r}]} \quad (21)$$

The parameter A defines the “long distance” saturation value for α_s . We use $A = 2$ which corresponds to $\alpha_s(r = \infty) = 1.0$. The parameter B is related to $\Lambda_{\overline{MS}}$ by $B = (2.23\Lambda_{\overline{MS}})^{-1}$ for $N_F = 3$. We use $N_F = 3$ [13] throughout because for most distance regimes relevant to our calculation the $c\bar{c}$ and $b\bar{b}$ vacuum polarization contribution should be negligible. We generally use the present experimental average $\Lambda_{\overline{MS}} \approx 0.240$ GeV [14], which corresponds to $B = 1.87 \text{ GeV}^{-1}$. As described in the results section, we do vary the value of B (i.e. of $\Lambda_{\overline{MS}}$) with respect to the above value for the specific purpose of studying the sensitivity of the shape of $\xi(v.v')$ to this parameter. We find only a weak dependence.

To describe the long distance behaviour we use a linear term in the potential that transforms as a Lorentz scalar (mass-like). Many theoretical and phenomenological arguments seem to favour this form [15, 16, 17],

$$V_L = \gamma^\circ \sigma r, \quad (22)$$

where γ° is the usual Dirac matrix, rather than an admixture with a linearly rising 0th component of a vector term. We do our calculations with three different values for the string tension parameter σ . The choices that we made were arrived at as follows. The experimental information available for the D and D_s systems seems to indicate that the

(spin averaged) splitting between P states and S states is in both cases approximately 0.45 GeV. We found that in order to obtain a splitting of this magnitude with our $V_c(r) + c_o + \gamma^\circ \sigma r$ as defined above, σ had to be about $\sigma \approx 0.25 GeV^2$. A previous study [15] agrees with this calculation. On the other hand, this value for σ is significantly larger than those obtained from the Regge slope data ($q\bar{q}$ systems) [16, 17]. Of course, one cannot exclude the possibility that the 2P-1S splitting of about 0.45 GeV holds for the D , D_s systems but is significantly smaller in the hypothetical limit $M_Q \rightarrow \infty$, which are the systems we are trying to describe in the present work. The difference would be due to heavy quark recoil effects in the charmed systems. In fact, preliminary evidence was reported recently for a $B^{**}(\ell = 1)$ candidate with mass $M_{B^{**}} \approx 5610 MeV$ (Ref. [18] and also talk by V. Lüth at the same conference), which would indicate a 2P-1S splitting of only about 0.34 GeV. If confirmed, this would allow us to use more conventional values for σ , as extracted from the Regge slope α' . We therefore also consider this possibility. The extraction of σ from α' is somewhat model dependent. Two common relations are

$$\sigma = \frac{1}{2\pi\alpha'} \quad (\text{string model [19]}) \quad (23)$$

and

$$\sigma = \frac{1}{8\alpha'} \quad (2 - \text{body generalization of Klein Gordon Eq. [16, 17]}) \quad (24)$$

Using $\alpha' \approx 0.9 GeV^{-2}$ [19] we obtain respectively $\sigma \approx 0.18 GeV^2$ (string model) and $\sigma \approx 0.14 GeV^2$ (2-body K.G. Eq.). The latter value agrees also with a recent lattice estimate [20]. We would like to remark that if the 2P-1S splitting for the B mesons (and therefore also in the $m_Q \rightarrow \infty$ limit) turns out to be similar in magnitude to the observed splitting in the D , D_s systems, as predicted in several models [21] we could still fit this splitting using the more conventional values of σ (0.18 and 0.14 GeV^2), provided that we change the $\Lambda_{\overline{MS}}^{(3)}$ parameter in $V_c(r)$ (See equation (21) and discussion below) to $\Lambda_{\overline{MS}}^{(3)} \geq O(0.5 GeV)$, i.e. $B < O(0.9 GeV^{-1})$.

So far we have used as input the well known leading short distance and long distance behaviour of the potential. There is less theoretical knowledge about the shape of the potential in the intermediate region. We introduce an additive constant term c_o , which is clearly subleading both in the short and long distance regimes. Because the only role of this constant is to define the absolute scale of the light quark energy ϵ_q , this constant gets absorbed once we identify $\epsilon_q \equiv \bar{\Lambda}_q = \lim_{m_Q \rightarrow \infty} (M_{q\bar{Q}} - m_Q)$ and assign $\bar{\Lambda}_q$ some physical value.

We obtain a plausible range for the physical parameter $\bar{\Lambda}_q$ from previous theoretical works that estimated the value of the “pole mass” of the b quark, m_b . We use the relation

$$M_B \text{ (Spin averaged)} \approx 5310 MeV \approx \bar{\Lambda}_{u,d} + m_b + \frac{\langle \vec{P}_q^2 \rangle}{2m_b}, \quad (25)$$

where $\langle \vec{P}_Q^2 \rangle \approx \langle \vec{P}_q^2 \rangle$ has been used.

A recent lattice study finds $m_b = 4950 \pm 150 \text{ MeV}$ [4], which also agrees with a HQET estimate [5]. QCD sum rule estimates are lower, closer to $m_b \approx 4.6 \text{ GeV}$ [6]. From these values for m_b and eq (25) we then find the range

$$\bar{\Lambda}_{u,d} \approx 150 - 600 \text{ MeV} . \quad (26)$$

We do our calculations using mostly the two extreme values as well as the average value in this range $\bar{\Lambda}_{u,d} = 150, 375$ and 600 MeV . Notice that we have to make a distinction between $\bar{\Lambda}_{u,d}$ and $\bar{\Lambda}_s$, but this does not introduce any complications because experimentally

$$M_{D_s} - M_D \approx M_{B_s} - M_B \approx 100 \text{ MeV} , \quad (27)$$

$$M_{D_s^*} - M_{D_s} \approx M_{D^*} - M_D , \quad (28)$$

and

$$M_{B_s^*} - M_{B_s} \approx M_{B^*} - M_B . \quad (29)$$

Thus, simply taking

$$\bar{\Lambda}_s = \bar{\Lambda}_{u,d} + 100 \text{ MeV} \quad (30)$$

seems to be consistent with the heavy quark expansion to $O(\frac{1}{m_Q})$. We will adopt this prescription to compare results for mesons containing a “u” or “d” quark with those containing an s quark.

In summary, our Dirac equation potential is of the form

$$V = \frac{-8\pi}{27r \ln(2.0 + \frac{1.87(\text{GeV}^{-1})}{r})} + c_o + \gamma^\circ \sigma r \quad (31)$$

where we assumed $\alpha_s^\infty = 1$, $N_F = 3$, $\Lambda_{\overline{MS}} = 0.240$; σ takes the values 0.25, 0.18 or 0.14 and c_o takes values such that $\epsilon_{u,d} \equiv \bar{\Lambda}_{u,d}$ takes a value we select in the likely range 150-600 MeV. For the quark masses we use $m_u = m_d = 0$, $m_s = 0.175 \text{ GeV}$. We have checked that this choice for m_s is consistent with eq (30).

4 Quantitative results and discussion

In this section we use the parametrization for the Dirac equation discussed in the previous section in order to find the light quark wavefunction, $\psi_q(\vec{x})$. We then use the formalism developed in section II, to calculate the Isgur-Wise function $\xi(v.v')$ in the Breit reference frame, for different values of the parameters in the potential and for systems where the light quark is u,d ($m_u = m_d \approx 0$) or s ($m_s \approx 0.175 \text{ GeV}$).

In the case of a central potential, the time-independent Dirac equation for a state with angular momentum quantum numbers j and m , is reduced to radial equations by writing

$$\psi = \frac{1}{r} \begin{pmatrix} g(r)\Omega_{\kappa m}(\theta, \phi) \\ -if(r)\Omega_{-\kappa m}(\theta, \phi) \end{pmatrix} \quad (32)$$

where $\kappa = -\ell - 1$ for $j = \ell + 1/2$ and $\kappa = \ell$ for $j = \ell - 1/2$ and $\Omega_{\kappa m}$ is a spinor with spin $1/2$ coupled to angular momentum. The radial equations are then

$$[V_l(r) + m]g(r) + \left[-\frac{d}{dr} + \frac{\kappa}{r}\right]f(r) = \epsilon g(r) , \quad (33)$$

$$\left[\frac{d}{dr} + \frac{\kappa}{r}\right]g(r) + [-m + V_s(r)]f(r) = \epsilon f(r) .$$

In the present calculation the potentials operating on the large and small components are parametrized as

$$\begin{aligned} V_l(r) &= \sigma r - \frac{8\pi}{27r \ln[A+B/r]} + c_o , \\ V_s(r) &= -\sigma r - \frac{8\pi}{27r \ln[A+B/r]} + c_o . \end{aligned} \quad (34)$$

The eigenvalue problem has been solved in two independent ways. The algebraic approach is to expand $g(r)$ and $f(r)$ in “basis” functions $\phi_i(r)$, $i = 1, \dots, M$ and $\chi_j(r)$, $j = 1, \dots, N$ leading to a matrix eigenvalue problem of dimension $(M+N)$ for ϵ . The smallest N eigenvalues correspond to hole states and the $(N+1)^{\text{st}}$ eigenvalue is the lowest particle state. In the present calculation ϕ and χ are taken to be

$$\begin{aligned} \phi_i(r) &= r^i e^{-\sigma r^2/2} , \quad i = 1, \dots, M , \\ \chi_j(r) &= r^j e^{-\sigma r^2/2} , \quad j = 1, \dots, N . \end{aligned} \quad (35)$$

This form is chosen to have the correct analytic behaviour at large r values. Matrix elements of the kinetic energy operators and the linear potential can be evaluated analytically, but matrix elements of the “Coulomb” term are computed numerically. Satisfactory results are obtained for modest values of M and N of order 10.

The problem has also been solved fully numerically in the finite difference approximation by converting the two first order equations to the second order Pauli equation. Details of the method used can be found in Ref. [22]. Energies found by the two methods are identical. However, for evaluating the form factor the more accurate numerical wavefunctions have been employed.

We present our results for $\xi_{u,d,s}(v.v')$ in graphical form for the range $1 < v.v' < 4$ which is of phenomenological interest for decays of the types $B, B^* \rightarrow D, D^* + X$; $B, B^* \rightarrow K^* + X$; $D, D^* \rightarrow K^* + X$. Figures (1), (2) and (3) exhibit our results for “inertia” parameter $\bar{\Lambda}_{u,d} = 0.6$ GeV, 0.375 GeV and 0.15 GeV ($\bar{\Lambda}_s = 0.7$ GeV, 0.475 GeV and 0.25 GeV) respectively. For each value of $\bar{\Lambda}_{u,d}$ we show the result

$\xi_{u,d}(v.v')$ for $\sigma = 0.25GeV^2$, $0.18GeV^2$ and $0.14GeV^2$ while for each $\bar{\Lambda}_s$ we give $\xi_s(v.v')$ with $\sigma = 0.18GeV^2$ for comparison with $\xi_{u,d}(v.v')$.

In Table 1 we present the values of the zero recoil slope, $\xi'(v.v')|_{v.v'=1}$ for the same values of the parameters $\bar{\Lambda}_{u,d}$, $\bar{\Lambda}_s$ and σ as used in figures (1-3).

We observe that for $\bar{\Lambda}_{u,d} \approx 0.15$, $\xi_{u,d}(v.v')$ is almost independent of the parameter σ . It is clear from eqs (16-18) that if $|\bar{\Lambda}| (= |\epsilon_q|)$ is very small, $\xi(v.v')$ is controlled mostly by purely kinematic factors, the shape of the wavefunction becomes unimportant (provided that it is properly normalized). We observe also that $\xi_{u,d}(v.v')$ and $\xi_s(v.v')$ are quite close ($\sigma = 0.18$, $\bar{\Lambda}_s \approx \bar{\Lambda}_{u,d} + 100MeV$) for all values of $\bar{\Lambda}_{u,d}$. This confirms that the strange quark can be treated as a light quark and that $SU(3)_F$ is only softly broken for the processes considered here, once the (phenomenologically imposed) shift in the value of $\bar{\Lambda}$ has been considered.

Although it is not obvious from figures (1-3), we checked (by using different values of σ and $\bar{\Lambda}_{u,d}$ and keeping $\bar{\Lambda}_{u,d}^2/\sigma$ constant) that $\xi_{u,d}(v.v')$ in the range $1 < v.v' < 4$ depends on the ratio $\bar{\Lambda}_{u,d}^2/\sigma$ only, to a precision better than 2%. This “scaling” is not completely unexpected since σ and $\bar{\Lambda}_{u,d}$ are the main dimensionful parameters entering the problem, and $\xi(v.v')$ is dimensionless. The other dimensionful parameter is $\Lambda_{\overline{MS}}$ in V_c , which we are keeping constant, affects V_c only logarithmically and, for massless quarks, does not much affect the shape of the wavefunction far from the origin (Recall that a pure vector-like potential does not produce bound states for massless quarks, and we are using $m_u \approx m_d \approx 0$).

Figure 4 illustrates this scaling effect by giving the values of the zero recoil slope $\rho^2 \equiv -\xi'(1)$ for different values of $\frac{\bar{\Lambda}_{u,d}^2}{\sigma}$. The points were obtained from Table 1 using the 3 values of σ and $\bar{\Lambda}_{u,d}$ quoted. It turns out that all the points satisfy to a very good approximation the empirical linear relation

$$\rho^2 \equiv -\xi'_{u,d}(1) \approx \frac{1}{2} + 0.39 \frac{\bar{\Lambda}_{u,d}^2}{\sigma} \quad (36)$$

where the numerical coefficient of $\frac{\bar{\Lambda}_{u,d}^2}{\sigma}$ varies only by ± 0.01 within our range of $\bar{\Lambda}_{u,d}$ and σ . This equation can then be used to find the zero-recoil slope within our model for an arbitrary value of the input parameters $\bar{\Lambda}_{u,d}$ and σ .

We checked whether this approximate dependence of $\xi(v.v')$ on the ratio $\bar{\Lambda}_{u,d}^2/\sigma$ only also holds for the system with a strange (light) quark ($m_s \approx 0.175GeV$). We found that $\xi_s(v.v')$ does depend on σ and $\bar{\Lambda}_s$ independently, i.e. not only through the ratio $\bar{\Lambda}_s^2/\sigma$. What causes the different behaviour in this case is that there is an additional dimensionful parameter in the problem, m_s , so that we can form two independent dimensionless ratios $\bar{\Lambda}/m_s$ and σ/m_s^2 . Regarding $\rho_s^2 = -\xi'_s(1)$, we notice from Table 1 that consistently $\rho_s^2 > \rho_{u,d}^2$, i.e. the Isgur-Wise function has (exhibits) a more rapid decrease for $q\bar{Q}$ mesons where the light quark is an s quark. This result was obtained as well in

the calculations of Refs [7, 23].

We also studied the effects of changing the value of $\Lambda_{\overline{MS}}$ (B parameter) in V_c (see eq 20, 21 and discussion below) on $\xi(v.v')$, keeping σ and $\bar{\Lambda}$ fixed. We found that the behaviour of $\xi(v.v')$ is not very sensitive to changes in $\Lambda_{\overline{MS}}$. For instance, using $\sigma = 0.18 GeV^2$ and $\bar{\Lambda}_{u,d} = 0.375 GeV$ ($\bar{\Lambda}_s = 0.475 GeV$), a change of $\Lambda_{\overline{MS}}$ by 50% either way from our central value $\Lambda_{\overline{MS}} \approx 0.24 GeV$ changes the zero recoil slope $\xi'(1)$ by only about 2.2% (3%). The relative changes in $(1 - \xi(v.v'))$ are of the same order of magnitude for the whole range $1 < v.v' < 4$.

Finally, we compare our results for $\xi_{u,d}(v.v')$ with the existing experimental data and other theoretical estimates.

As mentioned above, we observed that $\xi_{u,d}(v.v')$ in our model depends (to a very good approximation) only on the ratio $\bar{\Lambda}_{u,d}^2/\sigma$. Hence, we adopt a fitting procedure to the ARGUS '93[9] and CLEO '93[10] and CLEO '94 [11] data in which the parameters of the potential, $A=2$, $B=1.87 GeV^{-1}$ and $\sigma = 0.18 GeV^2$ are kept fixed, while $\bar{\Lambda}_{u,d}$ and the physical observable $|V_{cb}|\mu$ are varied in such a way that χ^2 , defined below as being proportional to the total square deviation from the data (weighed by the experimental uncertainty for each point) is minimized:

$$\chi^2 \equiv \frac{1}{N-2} \sum_{i=1}^N (|V_{cb}|\mu \xi[(v.v')_i] - f_i)^2 / \sigma_i^2, \quad (37)$$

where

$$\mu \equiv \sqrt{\frac{\tau_B}{1.48 ps}} \quad (\text{fits to ARGUS '93 and CLEO '93}) \quad (38)$$

and

$$\mu \equiv F(1) \approx \eta_A \quad \text{in the notation of [11]} \quad (\text{fits to CLEO '94}) \quad (39)$$

The normalization factor $1/(N-2)$ (N experimental points, 2 fitting parameters) allows us to interpret the magnitude of χ^2 for the best fit, χ_0^2 , as an absolute measure of the “quality” of the fit to the corresponding data set. The factor $F(1) \approx \eta_A$ required to extract an estimate of $|V_{cb}|$ from the CLEO '94 data [11] has been estimated theoretically by several authors:

$$\begin{aligned} F(1) &= 0.97 \pm 0.04 \quad [1, 24] \\ F(1) &= 0.96 \pm 0.03 \quad [25] \\ F(1) &< 0.94, \quad F(1) \approx 0.89 \pm 0.03 \quad [26]. \end{aligned} \quad (40)$$

For each data set, we obtain “acceptable” ranges for our two fitting parameters, $\bar{\Lambda}_{u,d}$ and $|V_{cb}|\mu$ by requiring

$$\chi^2 < \frac{N}{N-2} \chi_0^2, \quad (41)$$

where χ_0^2 corresponds to the best fit (i.e. χ_0^2 is the minimum of the function $\chi^2(\bar{\Lambda}_{u,d}, |V_{cb}|\mu)$). Because of the scaling behaviour described above eq (36), the ranges that we obtain for the parameter $\bar{\Lambda}_{u,d}$ and fixed $\sigma = 0.18 \text{ GeV}^2$ can be translated into ranges for the dimensionless ratio $\bar{\Lambda}_{u,d}^2/\sigma$ where σ is allowed to take values other than 0.18 GeV^2 .

We would like to add a cautionary note before giving the results of our fits. Because the Isgur-Wise function that we calculate in our model and use for these fits does *not* take into account finite- M_Q corrections ($O(\Lambda_{QCD}^2/M_Q^2)$ at $v.v' = 1$ and $O(\Lambda_{QCD}/M_Q)$ elsewhere), we have to be aware that direct comparison of our model with experiment (via our χ^2 function) can be reliable to *leading* order in Λ_{QCD}/M_Q only. At $v.v' = 1$, this uncertainty is of order Λ_{QCD}^2/M_Q^2 and can be absorbed into the parameter μ which multiplies $|V_{cb}|$ (see eqs 38-40). However, away from $v.v' = 1$ there is an ‘‘intrinsic’’ uncertainty in the comparison of our model to experiment of expected relative magnitude of order $(v.v' - 1)\Lambda_{QCD}/M_Q$.

The best fit to the ARGUS '93 data (8 points) [9] gives $\chi_0^2 = 0.54$. Using the above prescription (eq (41)) we obtain the following acceptable ranges for the fitting parameters: $\frac{\bar{\Lambda}_{u,d}^2}{\sigma} = 4.8 \pm 1.7$ (corresponding to (see eq (36)) $\rho_{u,d}^2 = -\xi'_{u,d}(1) = 2.4 \pm 0.7$) and $|V_{cb}|\sqrt{\frac{\tau_B}{1.48ps}} = 0.050 \pm 0.008$. We note that even if a small value for the string tension $\sigma = 0.14 \text{ GeV}^2$ were used, the acceptable range for the inertia parameter would be $\bar{\Lambda}_{u,d} \approx 0.81 \pm 0.15 \text{ GeV}$, which is significantly above most theoretical estimates (see eq (26)). The corresponding range for $\rho_{u,d}^2$ overlaps with some of the theoretical estimates (see Table 2) but is centered above most of the predicted ranges.

The best fit to the CLEO '93 data (7 points) [10] is poorer with $\chi_0^2 \approx 1.18$. Here we find the ranges $\frac{\bar{\Lambda}_{u,d}^2}{\sigma} = 3.4 \pm 1.8$ (corresponding to (see eq (36)) $\rho_{u,d}^2 = -\xi'_{u,d}(1) = 1.8 \pm 0.7$) and $|V_{cb}|\sqrt{\frac{\tau_B}{1.48ps}} = 0.043 \pm 0.008$. There is some overlap between the resulting range for $\bar{\Lambda}_{u,d}$ (eg $\bar{\Lambda}_{u,d} = 0.66 \pm 0.19$ for $\sigma = 0.14 \text{ GeV}^2$) and independent theoretical estimates (See eq 26). Also, the range for the slope $\rho_{u,d}^2$ significantly overlaps with several previous theoretical predictions (See Table 2). We would like to remark that if we ignore the CLEO '93 data point corresponding to highest recoil ($v.v' \approx 1.5$), the fit to the remaining 6 points is greatly improved. We obtain in this case $\chi_0^2 = 0.62$ and ranges $\frac{\bar{\Lambda}_{u,d}^2}{\sigma} = 2.1 \pm 1.6$ (corresponding to $\rho_{u,d}^2 = 1.3 \pm 0.6$) and $|V_{cb}|\sqrt{\frac{\tau_B}{1.48ps}} = 0.038 \pm 0.006$. For commonly used values for the string tension ($\sigma = 0.14 - 0.18 \text{ GeV}^2$) the acceptable range for $\bar{\Lambda}_{u,d}$ in this case is centered well within the range of previous theoretical estimates (See eq 26). The range for the zero recoil slope $\rho_{u,d}^2 = -\xi'_{u,d}(1)$ is very similar to the ranges obtained in recent lattice estimates [31, 32] as well as from several other theoretical calculations (See Table 2).

The best fit of our model to the recent CLEO '94 data analysis (7 points) [11] gives

$\chi_0^2 = 0.50$ which is the lowest χ_0^2 of all our fits, in spite of the smaller experimental error bars. This means that within a region of its parameter space, our model agrees well with this data set. In turn, we expect that this data with smaller error bars will be useful in selecting a relatively narrow region for our model parameters (mainly $\bar{\Lambda}_{u,d}^2/\sigma$) as well as for the Standard Model flavour mixing parameter $|V_{cb}|$ (or at least the product $|V_{cb}|F(1)$). The parameter ranges obtained by imposing eq (41) are: $\frac{\bar{\Lambda}_{u,d}^2}{\sigma} = 1.9 \pm 0.7$ (corresponding to $\rho_{u,d}^2 = -\xi'_{u,d}(1) = 1.3 \pm 0.3$) and $|V_{cb}|F(1) = 0.037 \pm 0.003$. As expected, the smaller experimental error bars lead to a better determination of our model parameter $\bar{\Lambda}_{u,d}^2/\sigma$ (and correspondingly, via eq (36), of the slope at zero recoil $\rho_{u,d}^2$) as well as of the physically interesting product $|V_{cb}|F(1)$. We note that for the commonly used values for the string tension $\sigma = 0.14 - 0.18 \text{ GeV}^2$, the resulting range for $\bar{\Lambda}_{u,d}$ ($0.41 \text{ GeV} < \bar{\Lambda}_{u,d} < 0.69 \text{ GeV}$) overlaps significantly with the upper half of the range given in eq (26), which was obtained from recent theoretical estimates of m_b [4, 5, 6]. In this respect, the larger values of σ (eg $\sigma = 0.25 \text{ GeV}^2$ (See discussion below eq (22))), seem to be less favoured. We would like to remark here that an estimate of the pseudoscalar decay constants f_D and f_B in the context of the relativistic model used here [39], when compared with recent estimates of these constants with lattice and QCD Sum Rule methods, favours the lower values for σ as well.

Because the Isgur-Wise function is normalized at zero recoil, $\xi(1) \equiv 1$, the slope at zero recoil $\xi'(1)$ determines to a good approximation the value of the function close to $v.v' = 1$. Therefore, at least close to $v.v' = 1$ (all the existing data is in the interval [1,1.5]) the slope $\xi'(1) \equiv -\rho^2$ is a reliable tool for comparison of the different theoretical estimates of $\xi(v.v')$. Our original model parameter ranges $0.15 \text{ GeV} < \bar{\Lambda}_{u,d} < 0.6 \text{ GeV}$ and $0.14 \text{ GeV}^2 < \sigma < 0.25 \text{ GeV}^2$ (See discussion between eqs (22) and (26)) give (through our result in eq (36)) a wide range $0.54 < \rho_{u,d}^2 < 1.5$, which overlaps with many different theoretical estimates (See Table 2 and refs [6-8] and [27-38]). The only exceptions are refs [33-36]. On the other hand, the relatively narrow range for $\rho_{u,d}^2$ favoured by our fit to the CLEO '94 data [11] ($\rho_{u,d}^2 = 1.3 \pm 0.3$), overlaps with the predictions in refs [7], [8], and [28-32] only, although is not far from the range advocated in ref [6] (See Table 2). Both the lattice gauge theory calculations [31] and [32] contain the range favoured by the fit of our model to the CLEO '94 data within their predicted ranges.

The extraction of a precise value for the Standard Model parameter $|V_{cb}|$ from the range $|V_{cb}|F(1) = 0.037 \pm 0.003$ favoured by our fit to the CLEO '94 data is partially hindered by the present uncertainty in the theoretical determination of $F(1)$. For example, if we use the whole range of values for $F(1)$ given in eq (40), we would obtain $0.034 < |V_{cb}| < 0.046$.

It is interesting to note that our fits to the CLEO '94 data (7 points) produce similar central values for $\bar{\Lambda}_{u,d}^2/\sigma$, $\rho_{u,d}^2$ and $|V_{cb}|$ (for $F(1) \leq 1$) as do our fits to the CLEO '93

data with the point corresponding to the largest $v.v'$ omitted from the CLEO '93 set (i.e. 6 points). The resulting ranges for these quantities are, however, significantly narrower (by a factor of about 2) for the CLEO '94 data set. We should also point out that the above mentioned central values for $\rho_{u,d}^2$ and $|V_{cb}|F(1)$ that we obtained from the fits of our model to the CLEO '94 data set (Fig 12 in ref [11]) are both somewhat larger than the central values for these quantities obtained in the CLEO analysis carried out in ref [11] (In their notation $a^2 = \rho_{u,d}^2$). Once the uncertainties are included, however, our results for these quantities are compatible.

Eventually, when the experimental data becomes more precise and the $1/M_Q$ corrections can be incorporated with fewer uncertainties, one should be able to further narrow the allowed range for our main model input, $\bar{\Lambda}_{u,d}/\sigma$, as well as for the flavour mixing parameter $|V_{cb}|$.

5 Acknowledgements

We would like to thank J. Sloan, C. Quigg, I. Bigi and B. Blok for useful discussions. This work was supported in part by the Natural Sciences and Engineering Research Council of Canada.

References

- [1] See for example the recent review by M. Neubert, SLAC-PUB-6263 (to appear in Physics Reports) and refs. therein.
- [2] M.E. Luke, Phys. Lett. B252 (1990) 447.
- [3] W.E. Caswell and G.P. Lapage, Phys. Lett. B167 (1986) 437; N. Isgur and M. Wise, Phys. Lett. B232 (1989) 113; Phys. Lett. B237 (1990) 527.
- [4] C.T.H. Davies et al., OHSTPY-HEP-T-94-004 (April 94) HEP-LAT 940412.
- [5] I.I. Bigi and N.G. Uraltsev, CERN-TH 7063/93, hep-ph 9311243.
- [6] S. Narison, CERN-TH 7277/94 (Talk given at $XXIX^{\text{th}}$ Rencontre de Moriond, Meribel, Haute-Savaie, March 1994).
- [7] M. Sadzikowski and K. Zalewski, Z. Phys. C59 (1993) 677.
- [8] J.D. Bjorken, in: Results and Perspectives in Particle Physics, Proceedings of the 4th Rencontres de Physique de la Valle d'Aoste, La Thuile, Italy, 1990, edited by M. Greco (Editions Frontieres, Gif-sur-Yvette, France, 1990), pp. 583; in Gauge Bosons and Heavy Quarks, Proceedings of the 18th SLAC Summer Institute of Particle Physics, Stanford, California, 1990, edited by J.F. Hawthorne (SLAC Report No. 378, Stanford, 1991), pp.167.
- [9] H. Albrecht et al. (ARGUS Collaboration), Z. Phys. C57 (1993) 533.
- [10] J. Alexander et al. (CLEO Collaboration), Contributed paper to the proceedings of the XVI International Symposium on Lepton and Photon Interaction, Ithaca, NY 1993, P. Drell and D. Rubin Eds.
- [11] B. Barish et al. (CLEO Collaboration), Preprint CLNS 94/1285, CLEO 94-27.
- [12] We remark that in Ref (7), a similar expression was obtained, except for a factor of γ^{-1} in their complex phase. That factor can be traced to an error in the Lorentz transformation in their eq (21).
- [13] R.R. Mendel and H.D. Trottier, Phys. Rev. D40 (1989) 3708 and ibid D46 (1992)2068.
- [14] Particle Data Group, Phys. Rev. D45 (1992) # 11, Part II.
- [15] V.D. Mur, V.S. Popov, Yu.A. Simonov and V.P. Yurov, J. Expt. Theor. Phys. 78 (1994) 1 (hep-ph 9401203) and references therein.

- [16] R.R. Mendel and H.D. Trottier, Phys. Rev. D42 (1990) 911 and references therein.
- [17] W. Lucha, F.F. Schoberl and D. Gromes, Phys. Rep. 200 (1991) 127.
- [18] See for example Review Talk by J.A. Appel in Proceedings of the XVI International Symposium on Lepton and Photon Interactions, Ithaca, NY 1993 , P. Drell and D. Rubin Eds., and references therein.
- [19] See section 8.10 in D.H. Perkins “Introduction to High Energy Physics” 3rd Edition, Addison-Wesley.
- [20] K.D. Born, E. Laermann, R. Sommer, P.M. Zerwas and T.F. Walsh, DESY preprint 93-171, Dec. 1993.
- [21] See for example E.J. Eichten, C.T. Hill and C. Quigg, Preprint FERMILAB-CONF-94/117-T and FERMILAB-CONF-94/118-T.
- [22] B.A. Shadwick, J.D. Talman and M.R. Norman, Comp. Phys. Comm. 54 (1989) 95.
- [23] T. Huang and C.W. Luo, BIHEP-TH-94-10, hep-ph 9408303.
- [24] Ref. [1] gives $F = 0.986 \pm 0.04$ ($\hat{\xi}(1) = 1.00 \pm 0.04$) (Neubert writes the form factor $F(y)$ as $\eta_A \hat{\xi}(y)$, where η_A is a calculable radiative correction for hard gluon processes ($\eta_A = 0.986 \pm 0.006$ [1]) and $\hat{\xi}(y)$ is the non-perturbative part of the form factor), but the final version, to appear in Physics Reports, will have $\hat{\xi}(1) = 0.98 \pm 0.04$, leading to $F(1) = 0.97 \pm 0.04$.
- [25] T. Mannel, CERN Report No. CERN-TH.7162/94.
- [26] M. Shifman, N. Uraltsev and A. Vainshtein, University of Minnesota Report No. TPI-MINN-94/13-T.
- [27] N. Isgur, D. Scora, B. Grinstein and M.B. Wise, Phys. Rev. D39 (1989) 799; Phys. Rev. D40 (1989) 1491.
- [28] J.L. Rosner, Phys. Rev. D42 (1990) 3732.
- [29] T. Mannel, W. Roberts and Z. Ryzak, Phys. Lett. B254 (1990) 274.
- [30] M. Neubert, Phys. Rev. D45 (1992) 2451.
- [31] C.W. Bernard et al. hep-lat 9307005, 9312038.
- [32] UKQCD Collaboration, hep-ph 9312241, hep-lat 9308019, 9312088.

- [33] A.V. Radyushkin, Phys. Lett. B271 (1991) 218.
- [34] A.I. Karanikas and C.N. Ktorides, Phys. Lett. B301 (1993) 397.
- [35] T. Kugo, M.G. Mitchard and Y. Yoshida, hep-ph 9312267.
- [36] M.A. Ivanov, O.E. Khomutenko and T. Mizutani, Phys. Rev. D46 (1992) 3817.
- [37] M.A. Ivanov and T. Mizutani, hep-ph 9406226.
- [38] B. Blok and M. Shifman, Phys. Rev. D47 (1993) 2949.
- [39] M.R. Ahmady, R.R. Mendel, J.D. Talman and H.D. Trottier, Work in progress.

Table Captions

Table 1: The slope of the Isgur-Wise function at zero recoil for various values of the parameters $\bar{\Lambda}$ and σ .

Table 2: Various theoretical estimates of $\rho_{u,d}^2 = -\xi'(1)$.

Figure Captions

Figure 1: The Isgur-Wise function $\xi_{u,d}(\xi_s)$ for $\bar{\Lambda}_{u,d} = 0.15 \text{ GeV}$ ($\bar{\Lambda}_s = 0.25 \text{ GeV}$) and $\sigma = 0.25, 0.18$ and 0.14 GeV^2 ($\sigma = 0.18 \text{ GeV}^2$).

Figure 2: The Isgur-Wise function $\xi_{u,d}(\xi_s)$ for $\bar{\Lambda}_{u,d} = 0.375 \text{ GeV}$ ($\bar{\Lambda}_s = 0.475 \text{ GeV}$) and $\sigma = 0.25, 0.18$ and 0.14 GeV^2 ($\sigma = 0.18 \text{ GeV}^2$).

Figure 3: The Isgur-Wise function $\xi_{u,d}(\xi_s)$ for $\bar{\Lambda}_{u,d} = 0.6 \text{ GeV}$ ($\bar{\Lambda}_s = 0.7 \text{ GeV}$) and $\sigma = 0.25, 0.18$ and 0.14 GeV^2 ($\sigma = 0.18 \text{ GeV}^2$).

Figure 4: The slope of the Isgur-Wise function at zero recoil $\rho_{u,d}^2 = -\xi'_{u,d}(1)$ for different values of the parameter $\frac{\bar{\Lambda}_{u,d}^2}{\sigma}$.

Table 1

	$\sigma = 0.25$	$\sigma = 0.18$	$\sigma = 0.14$
$\Lambda_{u,d} = 0.15$ $\bar{\Lambda}_s = 0.25$	$\rho_{u,d}^2 = 0.53$ $\rho_s^2 = 0.58$	$\rho_{u,d}^2 = 0.55$ $\rho_s^2 = 0.61$	$\rho_{u,d}^2 = 0.56$ $\rho_s^2 = 0.64$
$\Lambda_{u,d} = 0.375$ $\bar{\Lambda}_s = 0.475$	$\rho_{u,d}^2 = 0.72$ $\rho_s^2 = 0.80$	$\rho_{u,d}^2 = 0.81$ $\rho_s^2 = 0.90$	$\rho_{u,d}^2 = 0.89$ $\rho_s^2 = 0.99$
$\Lambda_{u,d} = 0.6$ $\bar{\Lambda}_s = 0.7$	$\rho_{u,d}^2 = 1.06$ $\rho_s^2 = 1.16$	$\rho_{u,d}^2 = 1.28$ $\rho_s^2 = 1.37$	$\rho_{u,d}^2 = 1.50$ $\rho_s^2 = 1.56$

Table 2

Bjorken [8]	$> \frac{1}{4}$
Isgur et al. [27]	0.63(0.33)
Rosner [28]	1.44 ± 0.41
Mannel et al. [29]	1.77 ± 0.74
Neubert [30]	1.28 ± 0.25
Bernard et al. [31]	$1.41 \pm 0.19 \pm 0.41$
UKQCD Collaboration [32]	1.2^{+7}_{-8}
Radyushkin [33]	∞
Karanikas and Ktorides [34]	0
Sadzikowski and Zalewski [7]	1.24
Kugo et al. [35]	$1.8 - 2.0$
Ivanov et al. [36]	0.43
Ivanov and Mizutani [37]	$0.42 - 0.82$
Narison [6]	$0.52 - 0.92$
Blok and Shifman [38]	$0.5 - 0.8$

This figure "fig1-1.png" is available in "png" format from:

<http://arXiv.org/ps/hep-ph/9410297v1>

This figure "fig1-2.png" is available in "png" format from:

<http://arXiv.org/ps/hep-ph/9410297v1>